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# UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 07 2001

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/921,996	08/06/2001	Toyomi Shiba	107348-00137

CONFIRMATION NO. 6896

004372  
ARENT FOX KINTNER PLOTKIN & KAHN  
1050 CONNECTICUT AVENUE, N.W.  
SUITE 600  
WASHINGTON, DC 20036

## FORMALITIES LETTER



\*OC000000006529205\*

Date Mailed: 09/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

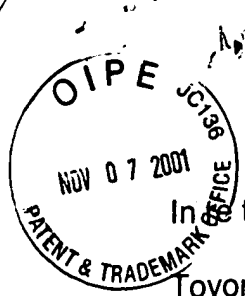
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/08/2001 BNGUYEN1 00000059 09921996

01 FC:101  
02 FC:105

740.00 OP  
130.00 OP



Section \$  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Toyomi SHIBA

Application No.: 09/921,996

Filed: August 6, 2001

For: BELT FOR CONTINUOUSLY VARIABLE  
TRANSMISSION

**ATTN: Box Missing Parts**

Atty. Docket No.: 107348-00137

Customer No.: 004372

Confirmation No.: 6896

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231  
**ATTN - BOX MISSING PARTS**

November 7, 2001

Sir:

In response to the Notice to File Missing Parts of Application dated September 7, 2001 (copy attached), the following are enclosed:

- ☒ Check # 329054 in the amount of Eight Hundred Seventy Dollars (\$870.00) for payment of the required filing and surcharge fees noted in the Notice to File Missing Parts;
- ☒ Originally-executed Declaration/Power of Attorney;

Applicants(s) are also enclosing the following:

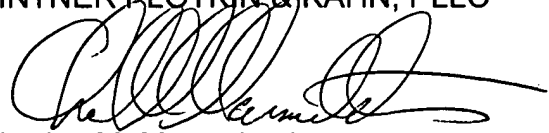
- ☒ Originally executed Assignment with Form PTO-1595; and
- ☒ Included in the attached check is the amount of \$40.00 as payment for the recordation of the Assignment.

The Examiner is respectfully requested to acknowledge that all of the requirements of 35 U.S.C. §111 have been met.

Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 referencing Attorney Docket No. 107348-00137.

Respectfully submitted,

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC

A handwritten signature in black ink, appearing to read 'Charles M. Marmelstein', is written over the printed name.

Charles M. Marmelstein  
Registration No. 25,895

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CMM:mmg